A note on the data-driven capacity of P2P networks

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Abstract

We consider two capacity problems in P2P networks. In the first one, the nodes have an infinite amount of data to send and the goal is to optimally allocate their uplink bandwidths such that the demands of every peer in terms of receiving data rate are met. We solve this problem through a mapping from a node-weighted graph featuring two labels per node to a max flow problem on an edge-weighted bipartite graph. In the second problem under consideration, the resource allocation is driven by the availability of the data resource that the peers are interested in sharing. That is a node cannot allocate its uplink resources unless it has data to transmit first. The problem of uplink bandwidth allocation is then equivalent to constructing a set of directed trees in the overlay such that the number of nodes receiving the data is maximized while the uplink capacities of the peers are not exceeded. We show that the problem is NP-complete, and provide a linear programming decomposition decoupling it into a master problem and multiple slave subproblems that can be resolved in polynomial time. We also design a heuristic algorithm in order to compute a suboptimal solution in a reasonable time. This algorithm requires only a local knowledge from nodes, so it should support distributed implementations.

We analyze both problems through a series of simulation experiments featuring different network sizes and network densities. On large networks, we compare our heuristic and its variants with a genetic algorithm and show that our heuristic computes the better resource allocation. On smaller networks, we contrast these performances to that of the exact algorithm and show that resource allocation fulfilling a large part of the peer can be found, even for hard configuration where no resources are in excess.

1 Introduction

Distributed architectures offer cost effective solutions to the deployment of large scale data delivery services. Peer-to-peer solutions have received a lot of interest from the research community and recently also from the industry. Typically, they permit to share resources among the different peers in order to offer an adequate quality of service to all the actors of the system. We can distinguish two types of resources in distributed systems. Owing to economics terminology, we denote as *rival* the resources that cannot be simultaneously allocated to multiple users [3]. In computer communications, the storage capacity or the uplink bandwidth are typically rival resources. Other resources are called *non-rival*.

Peer-to-peer architectures are appealing since the total amount of available rival resources increases with the number of clients in absence of selfish behavior. This provides improved scalability compared to centralized solutions. However, the problem of resource management in peer-to-peer systems is still very challenging. First, peers can only allocate resources (*i.e.*, reserve upload bandwidth) to the peers they know, so it is possible that all neighbors of a given peer cannot satisfy its demand, although resources are in excess in another location in the overlay. Studying the capacity of overlay networks is emerging as an important related subject [6, 18, 24, 33]. Second, the circulation of non-rival resources (*i.e.*, data) has an impact on the allocation of rival resources. For instance, in a live streaming system, a peer may have no fresh data to send to one of its neighbors, so the upload bandwidth allocated to this neighbor will be unused. Efficient large-scale content distribution is another major area of related research [25, 26].

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In this paper, we address the problem of resource allocation from an optimization standpoint. Each peer[1] is characterized by its *capacity*, the amount of rival resources it is able to allocate to other peers. In many cases, the capacity of a peer is its upload bandwidth, but it can also represent the storage capacity in distributed back-up services, or the processing power in grid computing. In parallel, each peer is also characterized by its *demand* that represents the minimal amount of resources the system should allocate to it, as otherwise the peer would quit the system. The demand can be a parameter of the system (e.g., the video bitrate of the content in live streaming systems) or the individual need of a node.

We consider that the network overlay is given. In such a model, a peer can only allocate its resources to its direct neighbors in the topology, this set of neighbors being fixed. This is the case when the overlay is used for several purposes, for example in P2P virtual worlds, the overlay for event notification is also used for multimedia. This is also the case when the overlay construction is driven by external guidelines, for example network locality, peers that are close in the network should be preferentially connected in the overlay.

Contrarily to most prior work, we do not consider that the network links have limited capacities but rather that the nodes have a limit in the resource they could contribute to their neighbors. This corresponds to recent models where it has been shown that the capacity bottlenecks are not located in the backbone but rather at the edges of the network in the current Internet [24, 25]. The challenge in the resource management problem is therefore to be able to match the demands of the peers with the constrained capacities of their neighbors.

We study in this paper two instances of the problem of the resource allocation and propose a theoretical groundwork on the topic of peer-to-peer capacity. We first compute the capacity of the peer-to-peer system in the stationary regime in a problem similar to the performance analysis of bit-torrent systems [28]. We neglect the non-rival resources and consider that peers have enough data to fully use the rival resources that have been allocated to their neighbours. We show that maximal resource allocation can be computed in polynomial time by reducing the problem to the computation of a maximal flow in a bipartite graph.

We then relax the assumption on the availability of non-rival resources, and we consider that the capacity of the system is dependent on the availability of data in the nodes. This second resource allocation problem is able to consider the dynamics of the system as in the example of a source broadcasting a non-rival resource. A node can allocate its resources only if its demand is fulfilled first. It leads to a multi-constrained optimization problem whose objective is to maximize the overall quality of service among the fulfilled nodes, or equivalently to determine the *maximum number of peers whose demand is fulfilled*. We show that this problem is however NP-complete. We present a promising Benders' decomposition [2] of this optimization problem into one master problem and up to $n - 1$ sub-problems, with n being the number of nodes. We then show that the subproblems can be solved in polynomial-time, which is promising for the design of fast solution techniques. We also propose heuristic-based algorithms to the resource allocation problem, which offer suboptimal yet practical solutions for large-scale distributed systems. We finally analyze the performance of the proposed algorithms for networks of small and medium scales.

2   Overlay Resource Allocation

2.1   Framework

We model the overlay as an undirected graph $G = (V, E)$ where an edge between two nodes u and v in the graph denotes a potential allocation of resources between peers u and v. The graph G is not necessarily complete although it is often assumed so in prior work, but rather corresponds to a pre-computed topology. The overlay model represents a snapshot of the system at a given time. The model could apply to dynamic overlays by encompassing all logical relationships during a time interval and then by weighting these edges accordingly. An edge $\{u, v\}$ in E can support the process of allocating resources in both directions, i.e., u can allocate resources to v and v can allocate resources to u. Therefore, every undirected edge $\{u, v\}$ should be transformed into two directed edges $(u \rightarrow v)$ and $(v \rightarrow u)$. The set of directed edges derived from E is denoted E^*.

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[1]Client, node, vertex or peer are used interchangeably in the document.

The amount of rival resources that a peer $u \in V$ is able to offer to other peers is termed $c(u)$, which does not exclusively mean $c(u)$ *different* data. The amount of resources that are given by a peer u to a neighbor v corresponds to the weight $w(e)$ associated with the edge $e = (u \to v) \in E^*$. For example the peer u reserves $w(e)$ bits per second to deliver video data to v. The resource allocation can be represented by a weight function $w : E^* \to \mathbb{N}$. Finally, each peer is also associated with a demand, denoted $d(u)$, representing the amount of resources that u expects to receive from other nodes. In particular, $d(u)$ is the minimal amount of resources that should be supplied to u in order to satisfy its quality of service requirements. While it is trivial to add constraints on the links by associating a maximal amount of resources that can be allocated from one peer to another, we do not consider edge capacities in this paper. The only constraint for the allocation $w(e)$ on the edge $e = (u \to v)$ is either $c(u)$, the amount of resources offered by u, or $d(v)$, the amount of resources v should receive.

2.2   Resource allocation problems

We study in this paper two instances of the problem of resource allocation on the graph G. The first problem corresponds to the stationary mode of the system, where nodes always have data to contribute to their neighbours. The nodes can always satisfy the resource allocation they have committed to. The problem can be formulated as follows.

Problem SRA (Stationary Regime Resource Allocation) Given an overlay $G = (V, E^*)$ and capacity and demand distribution functions $c(u)$ and $d(u)$, $u \in V$, determine the weight function $w : E^* \to \mathbb{N}$ such that the demand $d(u)$ of all the nodes u can be satisfied.

We then relax the assumption on the availability of the non-rival resources. We refer to the problem of resource allocation as the *K-Data-Capacitated Distribution Arborescence* (DCDA). We first introduce the 1-DCDA before generalizing to the K-DCDA. In the 1-DCDA, we consider that the resources that a node can contribute to the system is contingent to data availability. The data can here be seen as a file, a chunk or a stream. In particular, a node can participate to the distribution in the overlay only if its demand has been satisfied first. The 1-DCDA can be formally expressed as follows. Given an overlay $G = (V, E^*)$, a source s and a capacity distribution function $c(u)$, $u \in V$, find the weight function $w : E^* \to \{0, 1\}$ that maximizes the number of nodes having a non-null incoming edge. The *arborescence* rooted on s formed by non-null weighted edges respects that, for all nodes u in the arborescence, the number of children of u is not more than $c(u)$.

We now generalize the problem to the case where the data are organized into K independent data units, *e.g.*, K chunks or K different descriptions of a same video stream. The quality of service $q(u)$ at a node u is an increasing function of the number of data units, therefore the demand $d(u)$ is K and corresponds to a perfect quality of service. The distribution of the data is organized into separate trees $T_k, 0 \le k \le K$. For a node u belonging to T_k, its number of children in T_k is noted $m_k(u)$. The problem of the maximization of the overall quality of service can be written as :

Problem K-DCDA Given an overlay $G = (V, E^*)$, a source s and a capacity distribution function $c(u)$, $u \in V$, find the K weight functions $w_k : E^* \to \{0, 1\}, 0 < k \le K$, that maximize the sum of quality of service $\sum_{u \in V} q(u)$. The arborescences T_k rooted on s and formed by non-null weighted edges in w_k respect that, for all node u, $\sum_{k=1}^{K} m_k(u) \le c(u)$.

This problem specifies the demand as a boolean utility function on each tree, which generally simplifies the problem of utility maximization [5]. We do not try to maximize benefits while spanning all nodes in the network, which is one of the most studied problem in the literature. Rather, we aim to maximize the number of fulfilled nodes. Finally, we note that the solution to the SRA problem is the stationary regime solution of the K-DCDA problem if the demand of all the nodes can be satisfied. In the next sections, we show how to compute optimal and approximate solutions for these two problems, and we analyze the performance of the
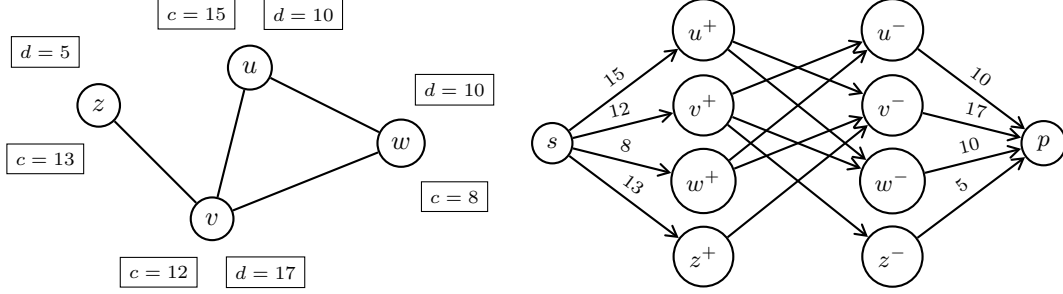
Figure 1: Network transformation of an overlay containing four peers. The maximal capacities of the edges are also indicated.

resulting algorithms.

3 Optimal Allocation in Stationary Regime

Our goal here is to compute the allocation that maximizes the amount of resources allocated between the peers in the overlay given their demands and serving capacities. We will show that such an optimal resource allocation can be computed in polynomial time. We will derive our solution through a transformation mapping a problem related to node-weighted graphs to a maximum flow problem on edge-weighted graphs. Such a transformation is not unusual [9, 13, 25], however the problem tackled here has never been formulated before with a graph-based model featuring two weights for each vertex in the graph. The maximum flow problem can then be solved with classic algorithms in polynomial time. We emphasize that works dealing with similar problems have used powerful but costly techniques to provide approximate algorithms [24]. In comparison, our elegant algorithm provides exact solutions in polynomial time.

3.1 Transformation into a Flow Network

We associate a *network* $\mathcal{N}(G, c, d) = (V', E', w)$ to our overlay G, featuring capacity and demand distribution functions $c(u)$ and $d(u)$, $u \in V$. In particular, the set V' contains a sink p, a source s and, for every peer $u \in V$, two vertices u^+ and u^-. Let V^+ be the set $\{u^+ : u \in V\}$ and $V^- = \{u^- : u \in V\}$. Formally, we have $V' = V^+ \cup V^- \cup \{s, p\}$.

The set of directed edges E' includes three distinct subsets. The first one contains n edges from the source to each vertex in V^+, where n is the size of the vertex set V. The capacity of an edge $(s \to u^+)$ is the amount of resources $c(u)$ the peer u can supply. The second subset comprises n edges from each vertex in V^- to the sink. Here, the capacity of an edge $(u^- \to p)$ equals the demand $d(u)$. Finally, in the third subset of edges, we assign one edge from u^+ to v^- if there is an edge $(u \to v) \in E^*$ in the original overlay graph. The capacity of this edge is infinite[2]. Thus we can define E' as $E' = \{(s \to u^+), (u^- \to p) : u \in V\} \cup \{(u^+ \to v^-) : (u \to v) \in E^*\}$. An illustration of the transformation described here for the case of a four peer overlay is shown in Figure 1.

Finally, let f be a flow in $\mathcal{N}(G, c, d)$. A weight function w can be defined as: for every arc $(u \to v) \in E^*$, set $w(u \to v)$ to $f(u^+ \to v^-)$. The total amount of allocated resources over w is exactly the value of f with respect to both demand and capacity. The SRA resource allocation problem becomes equivalent to a maximum flow problem on a bipartite graph.

3.2 Optimal Resource Allocation

In a maximum flow problem, the goal is to find the maximum value that a flow between a single source and a single sink can achieve in a network where each edge e has a nominal capacity $c(e)$. Two famous

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[2]Adding a fixed link capacity here would be straightforward.

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algorithms for computing the optimal solution in such instances are Ford-Fulkerson and Edmonds-Karp. These algorithms have a time complexity in $\mathcal{O}(|E| \cdot f)$ and $\mathcal{O}(n \cdot |E|^2)$, respectively, where n is the number of vertices of the flow network, and f the value of the maximum flow.

If the capacities exceed the demands, the value of a max flow is equal to the sum of the demands because the capacity of the links from the nodes in V^+ to the nodes in V^- is infinite. Therefore, by definition of flow conservation, if the value of f is equal to the sum of the demands, we obtain that w reaches the maximum demand. More generally, any maximum flow f on $\mathcal{N}(G, c, d)$ allows to determine an associated weight function w for G such that the demand for every peer is fulfilled if and only if the value of f is the sum of the demands. In other words, an answer to the decision problem can be immediately deduced from a computation of the maximum flow.

The max-flow problem can also be solved in a distributed way. This is very interesting in practice since the nodes generally do not have a global knowledge about the topology. Known distributed algorithms for the max-flow problem in such a setting are based either on the Ford-Fulkerson method [1] or on the preflow-push method [11]. A basic implementation of such an algorithm would allow the computation of an optimum resource allocation in any peer-to-peer system.

3.3   Discussion

Bounded degree max flow problems have been shown to be NP-complete [23], therefore our algorithm for computing the optimal resource allocation can not be applied if an additional constraint to the problem is to bound the number of neighbors to which any peer can allocate resources. Yet, such a constraint is frequently encountered in peer-to-peer systems, as discussed earlier. Hence, another open problem in P2P capacity allocation consists of designing an algorithm that would both maximize the resource allocation and limit the degree of the resulting subgraph comprising only the non-zero weighted edges.

Through the algorithm described thus far one can determine if there is an optimal allocation that fulfills the demands of all nodes in the overlay. However, if all nodes can not be fulfilled, this algorithm cannot compute the allocation of resources that maximizes the number of fulfilled nodes in the overlay. The algorithm presented in the next section is able to find an allocation that maximizes the number of fulfilled nodes.

4   Data-Capacitated Distribution Problem

We now include non-rival resources in the resource allocation problem, and we compute the capacity of the system under data availability constraints. A peer can not allocate any of its uplink bandwidth if it does not have data to transmit first. The non-rival resources are a set K comprising independent data units. Data are roughly equivalent in size. The quality of service associated with a peer is then a function of the number of received data. We denote by $q(u)$ the service quality for a node u. The quality of service is a increasing function of the number of data units received by the peer.

Each data $k \in K$ is served to nodes on a separate arborescence $T_k = (V_k, E_k)$, a directed tree rooted at s where $V_k \subseteq V$ and $E_k \subseteq E^*$. The children of a client $u \in V_k$ are denoted by $N_k(u)$, the number of children by $m_k(u)$. The multiple tree construction takes into account the aforementioned constraint on upload capacity of node u, i.e., $\sum_{k \in K} m_k(u) \leq c(u), \forall u \in V$.

As stated in the problem DCDA, we are interested in maximizing the overall quality of service in the overlay. Here, we define this quantity to be the sum of the qualities of service $q(u)$ experienced by all clients. Our model can support alternative definitions of the overall quality of service, as ensuring fairness among the clients or maximizing the number of clients up to a given quality threshold. We show below that the K-DCDA is NP-complete, even for $K = 1$.

4.1   NP-Completeness of K-DCDA

A formal formulation of the decision problem related with $k-$DCDA is:
INSTANCE : A graph $G = (V, E^*)$ with V the set of vertices and E the set of edges, a root $s \in V$, a positive

integer K, a capacity function $c : V \longrightarrow \mathbb{N}$ and a positive integer Γ.

QUESTION : Do there exist K arborescences $(T_k = (V_k, E_k))_{1 \leq k \leq K}$ rooted in s such that:

(1) for any k, we have $V_k \subseteq V$ and if $(u \to v)$ is an edge from T_k then $(u \to v)$ is an edge of G,

(2) for any vertex $u \in V$, the sum of its outdegrees is lower or equal to its capacity, i.e., $\sum_{k=1}^{K} m_{T_k}^{+}(u) \leq c(u)$,

(3) the total number of vertices belonging to the arborescences is greater or equal to Γ, i.e., $\sum_{k=1}^{K} |V_k| \geq \Gamma$.

We now provide a proof of the NP-completeness of K-DCDA using a reduction to the famous 3-SAT problem.

3-SAT

INSTANCE : Set U of variables and a collection C of clauses over U such that each clause $c \in C$ has $|c| = 3$.

QUESTION : Is there a truthful assignment for C?

Theorem 1 K**-DCDA** *is NP-complete even for* $K = 1$.

Proof. Given an instance of K-DCDA Problem and a family $(T_k = (V_k, E_k))_{1 \leq k \leq K}$ of K arborescence rooted in s, verifying that this family is a valid one is clearly polynomial in the size of the problem: hence the K-DCDA problem belongs to NP.

Now, given an instance of the 3 SAT problem comprising $U = \{x_1, \cdots, x_n\}$ a set of variables and $C = \{C_1, \cdots, C_{|E|}\}$ a set of clauses on U where $C_j = x_j^1 \vee x_j^2 \vee x_j^3$, we define an instance of the K-DCDA problem as follows. Recall that for any $1 \leq j \leq |E|$ and any $1 \leq l \leq 3$, we have that there exists $1 \leq i \leq n$ such that $x_j^l \in \{x_i, \overline{x_i}\}$. Let $V = \{s\} \cup \{i, x_i, \overline{x_i} : 1 \leq i \leq n\} \cup \{C_1, \cdots, C_{|E|}\}$ and let $E' = \{\{s, i\} : 1 \leq i \leq n\} \cup \{\{i, x_i\}, \{i, \overline{x_i}\} : 1 \leq i \leq n\} \cup \{\{x_j^l, C_j\} : 1 \leq j \leq |E|, 1 \leq l \leq 3\}$. For $1 \leq i \leq n$ and for $1 \leq j \leq |E|$, the capacity function is defined as $c(s) = n$, $c(i) = 1$, $c(x_i) = c(\overline{x_i}) = |E|$ and $c(C_j) = 0$. Finally, we define Γ as $1 + 2n + |E|$. Clearly the instance of the K-DCDA problem can be constructed in polynomial time in the size of the 3-SAT instance. We claim that there exists an arborescence $T = (V', F)$ solving our instance of the problem K-DCDA if and only if there exists a truthful assignment for C.

For the forward implication, assume that there exists an arborescence $T = (V', F)$ fulfilling conditions (1) to (3) of the problem K-DCDA. As $K = 1 + 2n + m = |V'|$ and as for any $1 \leq k \leq n$, we have $c(k) = 1$ and $c(j) = 0$ for $1 \leq j \leq |E|$, it follows that $|\{x_i, \overline{x_i}\} \cap V'| = 1$ for any $1 \leq i \leq n$. We define the assignment function φ as follows : $\varphi(x_i)$ is set to True if $x_i \in V'$ and False if $\overline{x_i} \in V'$. But now, as $|V'| = 1 + 2n + |E|$ and as for any $1 \leq i \leq n$ it holds $|\{x_i, \overline{x_i}\} \cap V'| = 1$, we obtain that for any $1 \leq j \leq |E|$, $C_j \in V'$ and thus that there exists a vertex x_j' in $\{x_i, \overline{x_i} : 1 \leq i \leq n\}$ such that (x_j', C_j) is an edge of T. But now, by definition of φ, we obtain that the literal associated to x_j' has a True value and thus we obtain that the clause C_j has also a true value, and thus that φ is a truth assignment for C.

For the backward implication, assume that we have a truth assignment φ for C. We define U' the set of true litterals for φ, that is $U' = \{x_i : x_i \in U, \varphi(x_i) = True\} \cup \{\overline{x_i} : x_i \in U, \varphi(x_i) = False\}$. Now let $V' = \{s\} \cup \{1, \cdots, n\} \cup U' \cup C$, clearly we have $|V'| = 1 + 2n + |E|$. As C is True, this means that for any $1 \leq j \leq |E|$, there exists at least one literal $y_j \in C_j$ such that $\varphi(x_j) = True$. We denote by y_j one literal from C_j which is True by φ. We define $F = \{(s, i) : 1 \leq i \leq n\} \cup \{(i, x_i) : 1 \leq i \leq n, x_i \in U'\} \cup \{(i, \overline{x_i}) : 1 \leq i \leq n, \overline{x_i} \in U'\} \cup \{(y_j, C_j) : 1 \leq j \leq |E|\}$. As by definition of y_j, the literal y_j is set to True and by the definition of F, it is obvious that $D = (V', F)$ is an arborescence rooted in s and that edges from D are also edges from G. Now we remain with the capacity constraint. Clearly we have $m_D(s) = n$, for any $1 \leq j \leq |E|$, $m_D(C_j) = 0$. Now, as for any $1 \leq i \leq n$, we have $|\{x_i, \overline{x_i}\} \cap U'| = 1$, we obtain that $m_D(k) = 1$. Moreover, for any $1 \leq i \leq n$, we have both $m_D(x_i) \leq |E|$ and $m_D(\overline{x_i}) \leq |E|$, thus D is an arborescence fullfilling conditions (1) to (3) and having Γ elements. $\square$

Note that the backward implication above only considers the case $K = 1$ since showing that 1-DCDA is NP-complete also implies that $k - DCDA$ is NP-complete.

4.2　1-DCDA Problem Decomposition

As the K-DCDA problem is NP-complete even for $K = 1$, we focus now on the particular instance of the 1-DCDA problem where the quality of service is a binary function. The peers either fulfills their demand $d(u) = 1$, $\forall u \in V$, or not. We propose a decomposition of the 1-DCDA problem into a master problem and several subproblems, which can be solved efficiently in polynomial time. We introduce first the concept of *level*. The vertex s corresponds to the only vertex at level 0; the vertices adjacent to s are at level 1, the vertices adjacent to those at level 1 are at level 2, and so forth. The level of a vertex therefore represents its distance (in terms of hops) to vertex s in the tree. We denote by $J = \{1, 2, 3, \cdots, n-1\}$ the set of possible levels. We also denote by V_s the set of nodes $V \setminus \{s\}$.

Let $x \in \{0,1\}^{(n-1)^2}$ be a matrix defined as:

$$x_v^j = \begin{cases} 1 & \text{if } v \text{ is at level } j, \\ 0 & \text{otherwise,} \end{cases}$$

for all $v \in V_s$ and $j \in J$. Furthermore, let $y \in \{0,1\}^{|E|(n-1)}$ be the matrix defined as:

$$y_e^j = \begin{cases} 1 & \text{if } e \text{ is selected from level } j-1 \text{ to level } j, \\ 0 & \text{otherwise,} \end{cases}$$

for all $e \in E$ and $j \in J$. Then, the 1-DCDA problem is equivalent to the following mixed-integer linear program P1

$$\text{P1}: \ \max z(x) = \sum_{j=1}^{n-1} \sum_{v \in V_s} x_v^j, \quad \text{s.t.}$$

$$\sum_{j=1}^{n-1} x_v^j \le 1, \text{ for } v \in V_s, \tag{1}$$

$$\sum_{v \in V_s} x_v^1 \le c(s), \tag{2}$$

$$\sum_{v \in V_s} x_v^j - \sum_{v \in V_s} c(v) x_v^{j-1} \le 0, \text{ for } j \in J \setminus \{1\}, \tag{3}$$

$$\sum_{j=1}^{n-1} y_e^j \le 1, \text{ for } e \in E, \tag{4}$$

$$\sum_{e \in \delta(s)} y_e^1 - c(s) \le 0, \tag{5}$$

$$\sum_{e \in \delta(v)} y_e^j - c(v) x_v^{j-1} \le 0, \text{ for } v \in V_s, \ j \in J \setminus \{1\}, \tag{6}$$

$$\sum_{e \in \delta(v)} y_e^j - x_v^j = 0, \text{ for } v \in V_s, \ j \in J, \tag{7}$$

$$x \in \{0,1\}^{(n-1)^2}, \tag{8}$$

$$y \in \{0,1\}^{|E|(n-1)}. \tag{9}$$

The assignment of vertex $v \in V_s$ to at most one level is expressed by inequalities (1). Inequalities (2) and (3) bound from above the number of vertices at level $j + 1$, based on the number of vertices at level j and the node capacity function c. Inequalities (4) guarantee that edge $e \in E$ is selected at most once in the induced tree. Inequalities (5) and (6) ensure that vertex $v \in V$ at level j is adjacent to at most $c(v)$ vertices at level $j + 1$, whereas inequalities (7) ensure that vertex $v \in V_s$ at level j is adjacent to exactly one vertex at level $j - 1$.

This model can be reformulated without the y variables using Benders' decomposition [2]. The main principle of this decomposition consists of separating the variables of the problem. A master problem, still NP-complete, is in charge of determining a solution for one variable, while the sub-problems are responsible to complete the assignment on the other variables. If this assignment is possible, the whole problem is solved, otherwise a new constraint is added to the master problem, which makes its computation quicker.

Now, let $X = \left\{x \in \mathbb{R}^{(n-1)^2} : x \text{ satisfies } (1) - (3) \text{ and } (8)\right\}$. Moreover, let $(6.j)$ and $(7.j)$ denote inequalities (6) and (7) for a specific value j in $J \setminus \{1\}$ and J, respectively. Then, let

$$Y(1) = \{y^1 \in \mathbb{R}^{|E|} : y^1 \text{ satisfies } (5), (7.1) \text{ and } y^1 \in \{0, 1\}^m\},$$

while for $j \in J \setminus \{1\}$ let

$$Y(j) = \{y^j \in \mathbb{R}^{|E|} : y^j \text{ satisfies } (6.j), (7.j) \text{ and } y^j \in \{0, 1\}^m\}.$$

Finally, the program P1 can be rewritten as

$$\max_{x \in X} z(x) + \zeta(s, x^1) + \sum_{j=2}^{n-1} \zeta(x^{j-1}, x^j), \tag{10}$$

where the subproblems have no incidence on the value of the final solution, therefore they can be abusively written as:

$$\zeta(s, x^1) = \max_{y^1 \in Y(1)} 0, \tag{11}$$

$$\zeta(x^{j-1}, x^j) = \max_{y^j \in Y(j)} 0, \text{ for } j \in J \setminus \{1\}. \tag{12}$$

The idea behind the decomposition in (10) is that a master problem generates a solution where the nodes are assigned to levels, and then the individual sub-problems verify if it is indeed possible to find edges linking the nodes at a given level with the nodes at the next level while respecting the node capacity function c. Next, we show that these sub-problems can be solved in polynomial-time.

Consider an undirected graph $G^j = (V^j, E^j)$, a partition $\{L^j, R^j\}$ of V^j and a function $b : L^j \longrightarrow \mathbb{N}$. A *semi-perfect b-matching of G^j* is a subset M of edges of G^j such that every vertex v in L^j is incident with at most b_v edges of M and every vertex in R^j is incident with exactly one edge of M. In our case, the number of used links from nodes in L^j should not be higher than the capacity of this node, while only one link should be used to reach the nodes in R^j. Let M be a semi-perfect b-matching of G^j. Its *incidence vector χ* is the $\{0, 1\}$-vector in $\mathbb{R}^{E^j}$ satisfying

$$\chi_e^M = \begin{cases} 1 & \text{if } e \in M^J, \\ 0 & \text{if } e \in E \setminus M^J. \end{cases}$$

The incidence vectors of semi-perfect b-matchings of G^J are solutions to the following system of linear inequalities

$$x(\delta(v)) \leq b_v \qquad\qquad \text{for } v \in L^j, \tag{13}$$

$$x(\delta(v)) = 1 \qquad\qquad \text{for } v \in R^j, \tag{14}$$

$$x_e \geq 0 \qquad\qquad \text{for } e \in E^j. \tag{15}$$

A polyhedron P is *integral* if P is the convex hull of the integral vectors in P. A pointed polyhedron P (*i.e.*, containing at least one extreme point) is integral if and only if each vertex is integral [30]. In the next lemma, we show that the polyhedron defined by inequalities (13)-(15) is integral.

Lemma 1 *The polyhedron*

$$SPMP(G^j, b) = \{x \in \mathbb{R}^{E^J} : x \text{ satisfies } (13) - (15)\}$$

is integral, providing it is not empty and G^j is bipartite.

Outline of the Proof. Assume G^j is bipartite and $SPMP(G^j, b) \neq \emptyset$. Let H^j be the incidence matrix of G^j which is known for being totally unimodular [27]. Matrix H^j can be partitioned into H^{L^j} and H^{R^j}, where H^{L^j} and H^{R^j} are composed of the rows of H^j indexed by the vertices of L^j and R^j, respectively. If $x^{\angle}$ denotes the vector of slack variables of (13), then system (13)-(15) can be rewritten as

$$A'x' = \begin{pmatrix} H^{L^j} & I_{|L^j|} \\ H^{R^j} & 0 \end{pmatrix} \begin{pmatrix} x \\ x^{\angle} \end{pmatrix} = \begin{pmatrix} b \\ 1_{|R^j|} \end{pmatrix} = b', \; x' \geq 0$$

From H^j being totally unimodular, we easily conclude that so is matrix A'. Since b' is an integral vector, the polyhedron $SPMP(G^j, b)$ is therefore integral [16]. $\qquad \square$

It is straightforward to see that each of the subproblems (11)-(12) corresponds to determining whether a semi-perfect b-matching exists on a graph induced by the vertices between two consecutive levels. In fact, consider any $j \in J$, and define $L^j = \{v \in V : x_v^{j-1} = 1\}$ and $R^j = \{v \in V : x_v^j = 1\}$. (If $j = 1$, then L^1 is reduced to vertex s.) The subgraph G^j of G clearly is bipartite because of inequalities (1).

Using Lemma 1 and Farkas' Lemma [8] (or duality in linear programming), each of the subproblems (11)-(12) has a feasible solution if and only if

$$u^J(Cx^{j-1} + x^j) \geq 0 \quad \text{for every extreme ray } u \text{ of } C(j), \tag{16}$$

where $C(j) = \{(u^{j-1}u^j) \in \mathbb{R}^{n_l + n_r} : (u^{j-1}u^j)^T H^j \geq 0, \; u^{j-1} \geq 0\}$ and H^j is the incidence matrix of the subgraph G^j. Therefore, the integer linear programming formulation

$$\max_{x,y} z(x) \text{ s.t. } (1) - (9)$$

is equivalent to solving

$$\max_x z(x) \text{ s.t. } (1) - (3), (8), (16),$$

with the separation problem of inequalities (16) being solvable in polynomial time (it reduces to solving linear programs).

5 Heuristic Resource Allocation Algorithms

The previously described decomposition aims to reduce the computation time of the exact solution. Even if the decomposition is promising, it still cannot solve the original K-DCDA problem. In addition, the exponential nature of the problem makes that it is not reasonable to expect results for large instances of the problem. Yet, peer-to-peer architectures make sense when the number of clients is large. Therefore we are looking for heuristics running in polynomial-time and determining solutions that are not far from the exact solution.

Several generic approaches have proved to be especially efficient in searching solutions to NP-hard optimization problems. For example, *genetic algorithms* use techniques inspired by evolutionary biology to compute an almost optimal solution from a set of valid non-optimal instances [14]. The computation is based on successive steps. At each step, a new generation of solutions is produced from the previous generation. The main idea is that these successive generations are expected to evolve toward better solutions. Various optimization techniques have been studied to improve the performance of genetic algorithms, but, as they are inherently generic, genetic algorithms are commonly outperformed by dedicated heuristics applying on a given problem. Nevertheless, we have implemented a generic algorithm for the K-DCDA, which allows to compare other heuristics, and to provide an overview of the solution for a large instance of the problem.

We have also designed a heuristic algorithm described in Algorithm 1. For each non-rival resource k, a node can be in one state among four: *dead$_k$* if it is served in T_k but it has no more resource to allocate, *fulfilled$_k$* if the node is served in T_k and it can serve still one of its neighbors, *accessible$_k$* if it is not served yet in T_k but one of its neighbors is, and *not accessible$_k$* otherwise. At each step, an arborescence T_k and a

Algorithm 1: Greedy Algorithm

Input : a graph (V, E), a source $s \in V$, a capacity function $c : V \to \mathbb{N}$
Output: a set of K arborescence $T_k = (W_k, E_k)$

1 $W_k \leftarrow \{s\}$; $E_k \leftarrow \emptyset$
2 $Dead_k \leftarrow \emptyset$
3 $Fulfilled_k \leftarrow \{s\}$
4 $Access_k \leftarrow N(s)$
5 $Not\_Acc_k \leftarrow V \setminus (Access_k \cup Dead_k \cup Fulfilled_k)$
6 **while** $\exists k$ *s.t.* $Access_k \neq \emptyset$ **do**
7 $\quad$ let T_k a random arborescence with $Access_k \neq \emptyset$
8 $\quad$ **foreach** $node \in Access_k$ **do**
9 $\quad\quad$ $nb\_not\_acc \leftarrow |N_k(node) \cap Not\_Acc_k|$
10 $\quad\quad$ $score(node) \leftarrow \min(nb\_not\_acc, c(node))$
11 $\quad$ let sel_node the node with max. score
12 $\quad$ $Poss\_parent \leftarrow N(sel\_node) \cap Fulfilled_k$
13 $\quad$ let par the node in $Poss\_parent$ with max. capacity
14 $\quad$ add sel_node to W_k
15 $\quad$ add edge $par \to sel\_node$ to E_k
16 $\quad$ $c(par) \leftarrow c(par) - 1$
17 $\quad$ **if** $c(sel\_node) > 0$ **then**
18 $\quad\quad$ move sel_node to $Fulfilled_k$
19 $\quad$ **else**
20 $\quad\quad$ move sel_node to $Dead_k$
21 $\quad$ **if** $c(par) = 0$ **then**
22 $\quad\quad$ move par from $Fulfilled_{k'}$ to $Dead_{k'}, \forall k'$
23 $\quad$ update $Access_{k'}, \forall k'$
24 $\quad$ update $Not\_Acc_{k'}, \forall k'$

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node u being in the $accessible_k$ state are chosen. Then a node in $fulfilled_k$ is selected to serve u in T_k. The algorithm ends when no node is $accessible_k$ in any arborescence T_k.

The choice of the arborescence and the node to serve is crucial. In Algorithm 1, we describe the "*greedy*" approach that has given so far the best results during our simulations. In line 7, we use a uniform random choice to pick an arborescence having a non-null set of accessible nodes. This uniform random choice guarantees no privileged non-rival resource. Once an arborescence T_k is determined, a node in $accessible_k$ should be chosen. For every candidate node u, we evaluate the number of neighbors u is able to serve in T_k, that is, the *score* of u depends on its available capacity and on the number of its neighbors in *not accessible_k*. This part of the algorithm is described in lines 8 to 11. Finally, the algorithm determines a parent for u. Our approach consists of selecting the node that has the largest amount of available resource (lines 12 and 13). The remaining of the algorithm deals with state updating (lines 17 to 24).

This algorithm ensures a greedy construction of every tree, and supports efficient distributed implementations. In the next part, we evaluate two variants: the "*random*" algorithm where the node to serve is chosen at random instead of using a score, and the "*pre-fixed*" algorithm where every node u assigns a fixed capacity for every tree $c_k(u), \sum_{k \leq K} c_k(u) = c(u)$, then the algorithm computes K greedy trees.

6   Performance Analysis

The goal of this simulation is threefold: evaluating the influence of non-rival resources on the capacity of peer-to-peer networks, estimating the ratio of fulfilled nodes for representative overlays, and examining heuristic performances.

6.1   Configuration

Many recent works, including in standards organization, have dealt with matching overlay networks and Internet. These network-friendly overlays are fairly representative of the next generation of *a priori*-constructed overlays, yet the degradation of performances resulting from this non-optimal construction is still unknown. These overlays illustrate the interest of our work, so we use in our simulations the proximity of peers into an underlying Internet to build the overlays. The underlying network is a matrix of latencies between $2,500$ nodes from the Meridian project[3]. For each run, we choose randomly n nodes, then, for each node, we determine its κ closest nodes among the selected nodes, and we establish a connection between them. Therefore, the minimal degree of a node is κ. Note that a node can be among closest neighbors of more than κ nodes, so its degree can be larger than κ. As a result, the overlay is a bi-directional κ-nearest neighbor graph built from a realistic set of nodes in the Internet. To eliminate random effects, more than 20 different instances are tested for each measure.

We measure the ratio of allocated resources. In our context, the demand of peers is the same for all peers, *i.e.,* every peer would like to receive the same amount of resources. We set K to 3, and we use $d(u) = 3, \forall u \in V$ for peers' demand in the stationary regime. Hence, it is possible to compare both resource allocation problems, in stationary regime and when K non-rival resources should be delivered. The average capacity is fixed to 3. Note that the average capacity being equal to the average demand, the system is thus pushed to its limit: a ratio of allocated resources equal to 1 means a perfect allocation of resources with no capacity loss.

We show the results obtained by four heuristic algorithms. The *GA* algorithm corresponds to an implementation of a Genetic Algorithm, with an initial population of 150 basic solutions, and 300 steps. The *greedy* algorithm is described in Algorithm 1. Finally, both *random* and *pre-fixed* heuristic algorithms have been previously introduced.

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[3]Measurements have been done in May 2004, more information on `http://www.cs.cornell.edu/People/egs/meridian/`
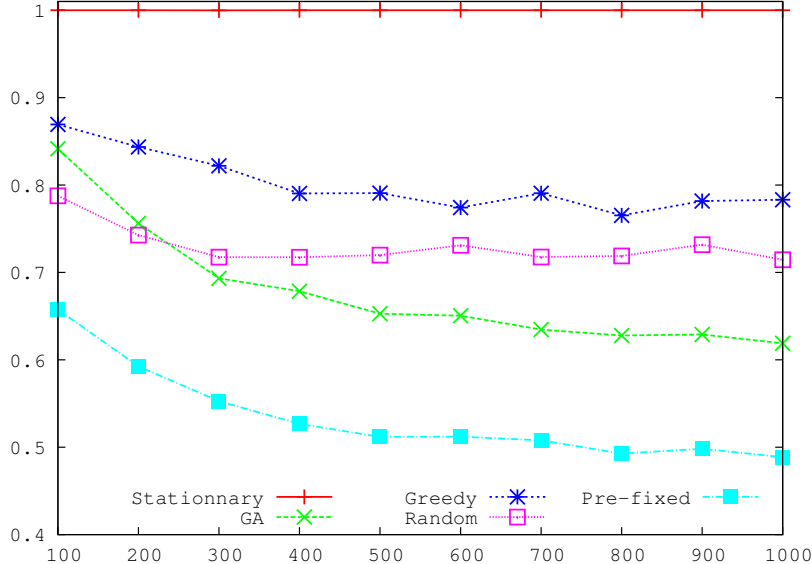
Figure 2: Ratio of allocated resources vs. population size n for large instances

6.2 Large Instances

Our first focus is on the differences between SRA and K-DCDA problem solutions, and an overview of the ratio of fulfilled nodes in large representative overlays.

6.2.1 Population Size

The number of peers n varies from 100 to 1,000, the range of capacities is from to 2 to 4, the parameter κ is set to 6. Results are plotted in Figure 2.

In these configurations, there exists always a resource allocation that fulfills all peers in the stationary regime. On the contrary, all heuristic algorithms fail to find any perfect resource allocation with non-rival resources. Although these algorithms do not guarantee any optimal solution, we conjecture that non-rival resources add a constraint that not only makes the best allocation harder to determine, but also prevents some peers to fully use their capacities.

The performances of the GA algorithm degrade quicker than other heuristic algorithms. Intuitively, the wider is the solution space, the worse are the performances of genetic algorithms. As can be expected, GA does not really perform better than efficient dedicated heuristic algorithms.

A clear hierarchy is revealed among the three other algorithms. The *greedy* algorithm outperforms both other variants. We emphasize the bad performances of the *pre-fixed* algorithm, which fulfills less than half of the peers when n is 1,000, while almost four fifth of resources can be allocated by the *greedy* algorithm. This huge difference demonstrates that a not-so-clever resource allocation can significantly degrade the performances of an overlay.

6.2.2 Network Density

We consider now various overlay densities. The minimal degree κ varies from 3 to 15, while the population size n is fixed to 200. Results are in Figure 3.
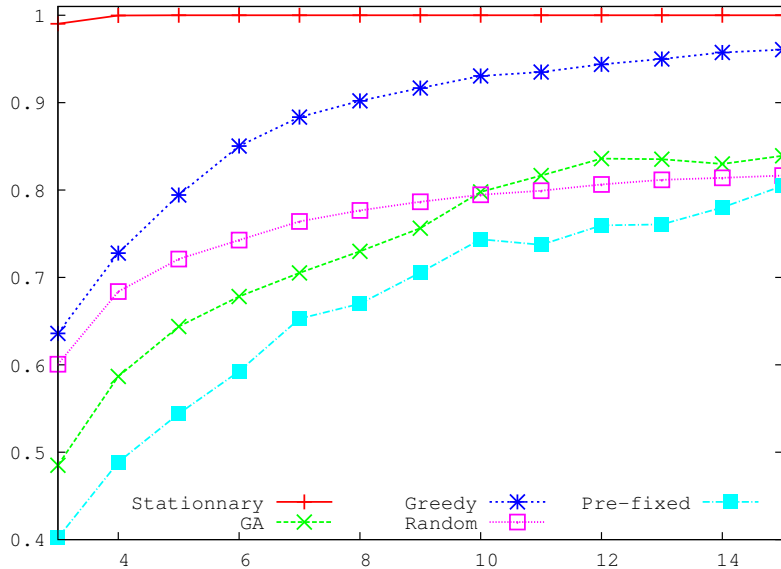
Figure 3: Ratio of allocated resources vs. minimum degree κ for large instances

In stationary regime, the previous results are confirmed: even for sparse overlays ($\kappa = 4$), a valid resource allocation can fulfill all nodes. This result highlights the importance of resource allocation strategies, and the benefits one can expect from them on any *a priori*-constructed overlay.

With non-rival resources, this optimality can unfortunately not be reached by our heuristics, though the performances are excellent for dense networks. When κ grows, the set of peers that are candidate to be served enlarges, and the random choice becomes naturally worse than a specific policy. Hence, the *random* strategie tends to underperform.

6.3 Small Instances

We now build small instances with n from 6 to 15 nodes. In this context, κ is fixed to 3 and the range of upload capacities is from 0 to 6. On such small instances, exact solutions can be computed in a reasonable time. Results in Figure 4 aim to provide a slight indication of the overall performances of our heuristics. We represent only *GA* and *greedy* algorithms.

Unsurprisingly, the *GA* algorithm succeeds in discovering an optimal solutions for small n, because a large part of the valid solution space can be explored, so optimization techniques detect the best branches. The *greedy* is contrarily sub-optimal. In these hard configurations, we observe however that this algorithm provides allocations that fulfill a large majority of peers and are at less than 15% to the optimal. Finally, the results of the exact solutions, especially the impossibility to obtain a perfect allocation, confirm that non-rival resources impact the overlay capacity.

7 Related Works

The problem of capacity of peer-to-peer networks is a recent and fairly unexplored topic where related work have focused in main part on live streaming systems. For instance, [18] models the overlay network as a
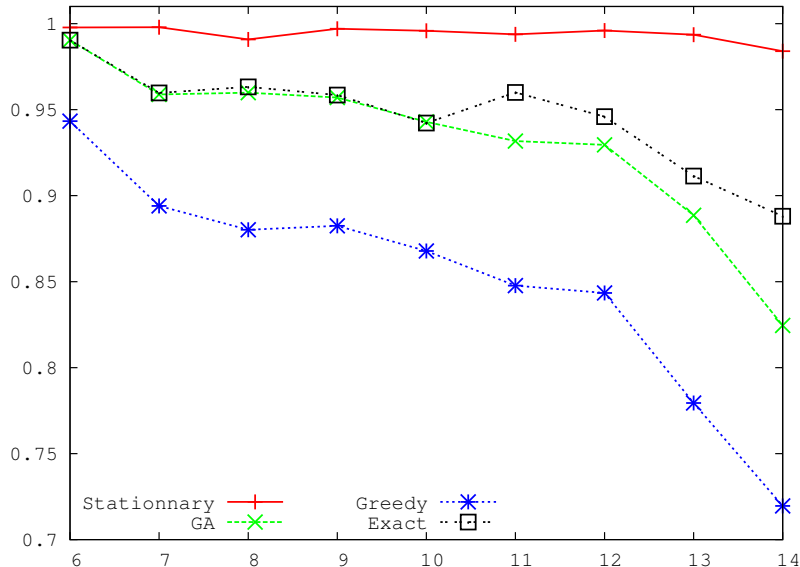
Figure 4: Ratio of allocated resources vs. population size n for small instances

rooted tree that exhibits capacity constraints on its links. Determining the maximal overall bandwidth that can be allocated to peers in such a setting is proved to be NP-hard. This work could be included in the large existing literature on network design problems [20] and resource sharing in networks of processor-sharing queues [29]. In comparison to these works, as previously described, the present paper disregards the link constraints but rather considers that peers have constrained resources. A similar network model has been considered in [24], where the authors present several variants of the problem of computing the maximum bandwidth allocation to all peers in the network. A linear programming approximation is presented that applies to all instances studied in [24], save for the case when the overlay nodes have bounded outgoing degrees. A similar approach based on primal-dual algorithms for an edge-weighted network model is studied in [6]. We have proposed in this paper a polynomial-time solution for the optimal allocation, based on a transformation that maps the problem to a max flow problem on a edge-weighted graph.

Several studies have explored the performance of peer-to-peer systems for file transfer from one sender to many destinations. A seminal work in this regard is [33]. Most of the other related studies have focused on analyzing the performance of various data scheduling strategies, $i.e.$, how long does it take to deliver a file to n clients in the network. For instance, [28] introduces a simple fluid model for analyzing the performance of Bit-Torrent-like networks. However, the above models neglect the fact that every peer in the overlay has only a partial view of its topology. In addition, the peers simultaneously employ data scheduling, resource allocation, and neighbour management strategies that is also not taken into account by these models. In contrast, we consider a snapshot of the peer-to-peer system where every peer allocates its rival resources to its direct neighbors. Our aim is to measure the capacity of the network as determined by the peer neighborhood relationships, $i.e.$, to compute the resource allocation that actually satisfies the peers' demands.

The problem of resource-driven capacity computation is tighly linked to the problem of efficient tree construction. It has been shown that determining a Bounded Degree Spanning Tree (BDST) where no vertex should have more than m children is however an NP-complete problem for any degree $m \geq 2$ [10]. The BDST is a special case of 1-DCDA problem when $c(u) = m, \forall u \in V$. Many related studies consider determining a spanning tree having the minimum cost on a weighted graph [12]. Interesting variations of this

problem feature non-uniform degree bounds [21] or aim at minimizing the depth of the spanning tree [15]. Our formulation of the 1-DCDA problem differs in two ways. First, we consider an unweighted graph as in our model the upload capacities of the peers act as bottlenecks in the system. In contrast, the above min-cost optimization problems have been motivated by dimensioning and reducing the cost of the core network managed by network operators. Second, these earlier works on spanning trees aim at spanning *all* nodes in the network while optimizing an objective function. Differently, the K-DCDA problem aims at maximizing the number of spanned nodes under a node degree constraint. The only related work in this aspect is [4] that studies minimum trees spanning at least k vertices again in a weighted graph.

When a network is given as a graph with edges associated with weights and nodes associated with profits, one can formulate a resource optimization problem such that the profits of the connected nodes minus the costs of the edges involved is maximized. This is typically an instance of the Price-Collecting Steiner Tree Problem (PCSTP) [19, 32], which generalizes the Steiner Tree Problem. Our problem with one data asset is similar to PCSTP in the following sense: 1-DCDA aims to maximize the number of nodes included in the tree which is equivalent to the case that maximizes the profit of the nodes when they are associated with a common profit function and the weights on the edges are zero. However, the problems are different in that we put constraints on the out degree of the nodes as otherwise the problem becomes unconstrained.

Finally, numerous works have addressed the design of algorithms aiming to build peer-to-peer application-layer multicast protocols (see [17] for a survey). The goal is again to span all nodes in the overlay, however the optimization objectives here are application related (*e.g.*, to have a distributed implementation, to reduce the control message overhead or to ensure a fast recovery in case of failures). Several related algorithms have been proposed and extensively analyzed through simulations (see *e.g.*, [7] for a comprehensive study). The most well-known works include ZigZag and Nice [31] that organize the peer into clusters in order to reduce the control overhead of the multicast tree. Similarly, TAG [22] takes into account the topology of the underlying network when constructing the multicast tree in order to reduce its delay.

8   Conclusions

This work is a theoretical groundwork for the study of overlay capacity. We describe an original model and a series of fundamental results, including a polynomial-time exact algorithm for stationary regime and a NP-completeness proof with non-rival resources. As the complexity of this latter problem requires further investigations, we also describe in this paper two additional contributions: a quite attractive Bender's decomposition for quick exact solutions and an efficient heuristic whose experimental performances have proved to be good. Besides, we raise in this paper various open problems, *e.g.*, bounded-degree resource allocation in stationary regime, or the management of dynamic overlays. From a theoretical point of view, much efforts should be employed to study the K-DCDA: designing approximate algorithms, determining families of overlays on top of which optimal solutions can be found, analyzing thoroughly models, *etc.* From an applicative perspective, we would like to study more deeply efficient bandwidth allocation for improving the delivery of multiple description video in peer-to-peer streaming systems. The next steps include the design of distributed implementations and the study of video-related quality of services.

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